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## BIB DATA SHEET

CONFIRMATION NO. 9080

| SERIAL NUMBER | FILING or 371(c)<br>DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET<br>NO. |
|---------------|--------------------------|-------|----------------|------------------------|
| 09/465,607    | 12/17/1999<br>RULE       | 705   | 3691           | 98-HSX001-C1           |

**APPLICANTS**  
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 CGRAHAM 10/22/10

**\*\* CONTINUING DATA \*\*\*\*\***  
 This application is a CON of 09/184,571 11/02/1998 PAT 6,505,174  
 which is a CIP of 08/620,906 03/25/1996 PAT 5,950,176

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**\*\* IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* \*\* SMALL ENTITY \*\***  
 02/02/2000

|  |  |                           |                         |                             |                                 |
|--|--|---------------------------|-------------------------|-----------------------------|---------------------------------|
| Foreign Priority claimed<br>35 USC 119(a-d) conditions met<br>Verified and /CLEMENT B GRAHAM/<br>Acknowledged Examiner's Signature | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><br><input type="checkbox"/> Met after Allowance<br>cg Initials | STATE OR<br>COUNTRY<br>CA | SHEETS<br>DRAWINGS<br>4 | TOTAL<br>CLAIMS<br>10<br>46 | INDEPENDENT<br>CLAIMS<br>5<br>6 |
|--|--|---------------------------|-------------------------|-----------------------------|---------------------------------|

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**TITLE**  
 COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM

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|--|--|---|
| <b>FILING FEE<br/>RECEIVED</b><br>1956 | FEEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees (Filing)<br><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)<br><input type="checkbox"/> 1.18 Fees (Issue)<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |
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